

UNITED STATES BANKRUPTCY COURT  
EASTERN DISTRICT OF NORTH CAROLINA  
RALEIGH DIVISION

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IN RE: CASTLETON GROUP, INC.	)	No. 07-02896-5-RDD
	)	
IN RE: CASTLETON AFFILIATES, LLC	)	No. 07-02894-5-RDD
	)	
IN RE: CASTLETON ASSOCIATES, LLC	)	No. 07-02895-5-RDD
	)	(Jointly Administered)
	)	
Debtors	)	CHAPTER 7

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**THIRTY-FOURTH REPORT AND FEE NOTICE OF COSTS AND EXPENSES  
OF THE SUCCESSOR TRUSTEE AND NAMED PLAN FIDUCIARY OF THE  
CASTLETON GROUP INC. 401(K) PLAN**

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Pursuant to the Order of the Court dated October 2, 2008, the Successor 401(K) Plan Trustee and Named Plan Fiduciary of the Castleton Group Inc. 401(K) Plan (the "Plan") submits this Thirty-Fourth Report and Fee Notice for fees and expenses for the period of October 1, 2011 to October 31, 2011.

**ACTIVITY REPORT**

During the month of October, the Successor 401(K) Trustee began review of the timing of procedures for the implementation of the final stages of plan termination. Employment status requests were sent to all current sponsoring employers during October, as well as successor plan contact information requests. On October 31, 2011, the Court issued its order lifting the freeze so as to begin all the needed preliminary transactions. On November 15, 2011, the expense reserve called for in the Order was established. On November 18, 2011, Distribution Request Forms were sent to participants who have either been terminated from their employment by a sponsor, or participants' sponsors who are no longer in business. During the first week in December employers will begin receiving Withdrawal of Participation forms to be completed.

Required Minimum Distributions will occur prior to processing Distribution Requests of terminated employees.

## ACCOUNTINGS REGARDING THE 401(K) PLAN

As noted in the Order of October 2, 2008, before causing the Plan to pay compensation, fees or expenses, the Successor Trustee is to provide written notice (the "Fee Notice") of such compensation, fees or expenses, by filing the Fee Notice with the Court, and by serving a copy to the U.S. Department of Labor, Employee Benefits Security Administration, Atlanta Regional Office, Atlanta, Georgia, and to all of the Participating Employers in the Plan. The Successor Trustee submits her Fee Notice and Activity Report on a monthly basis. If, within fifteen (15) days after filing of a Fee Notice, no objection to the Fee Notice or payment by the Plan of the compensation, fees or expenses described therein is filed with the Court, such compensation, fees or expenses shall be deemed reasonable expenses of the Plan and shall be paid by the Plan without further action or approval of the Court. These fees and expenses will be paid from the Plan's expense reserve created by the Court's October 31, 2011 Order, rather than from accounts held at Transamerica.

In an effort to reduce costs, copies of this Thirty-Fourth Fee Notice and Report are being posted to RMI's website and post cards are being mailed to notify the 47 participating employers of the filing and its being available on the RMI website.

Attached hereto as **Exhibit 1** is a schedule of the hourly rates for the Successor Trustee and the hourly rates of any staff of Receivership Management, Inc. that might be used to carry out the terms of the Court's Order of October 2, 2008.

Attached hereto as **Collective Exhibit 2** are expense summaries for the period of October 1, 2011 to October 31, 2011.

Total expenses, as listed on Exhibit 2 include \$720.00 in Successor Trustee fees, \$2,200.00 in legal expenses and \$3,724.50 in contract labor expenses (which include auditor fees and identified charges for other fees, postage, copies, telephone, travel, etc.) for the period of October 1, 2011 to October 31, 2011.

In anticipation of this filing, a draft of this Fee Notice and the invoices were shared with Mr. Chris Graebe, Counsel for certain Plan Participating Employers.

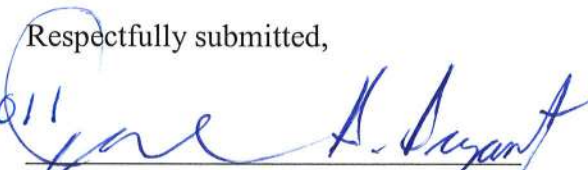
In the absence of any objection, total reimbursement for the period of \$720.00 in Successor Trustee fees, \$2,200.00 in legal expenses and \$3,724.50 in contract labor expenses will be paid from the Plan's expense reserve in accordance with the Court's October 2, 2008 Order. The exact amounts and the parties to be paid are listed below:

1.	Jeanne Barnes Bryant	\$720.00
2.	Berry & Tudor	\$2,200.00
3.	Receivership Management Inc.	\$3,724.50
	\$3,555.50/Contract labor	
	\$169.00/Other expenses	

Pursuant to the Court's October 2, 2008 Order, if no objection is filed with the Court within fifteen (15) days after the filing of the Fee Notice with the Court, the Successor Trustee will proceed to authorize payments due counsel and any other parties listed.

Respectfully submitted,

Dated November 28, 2011

  
Jeanne Barnes Bryant (TN. License 5835)  
Successor 401(K) Plan Trustee and Named Plan  
Administrator  
Castleton Group Inc. 401(K) Plan  
783 Old Hickory Blvd., Ste 255  
Brentwood, TN 37027  
Telephone: 615-370-0051  
Fax: 615-373-4336

Certificate of Service

**I hereby certify that a true and correct copy of the foregoing Successor Trustee's Thirty-Fourth Report and Fee Notice has been or will be mailed by U.S. Mail and/or faxed if number available by the 28th day of Nov, 2011 to the parties noted below**

Robert M. Lewis, Jr.  
Office of the Solicitor  
U.S. Dept. of Labor  
61 Forsyth St., SW, Rm. 7T10  
Atlanta, GA 30303  
Counsel to the U.S. Department of Labor

The Castleton Group, Inc.  
c/o Hugh Davis  
Poyner Spruill LLP  
P.O. Box 1801  
Raleigh, NC 27602-1801  
Counsel to Chapter 7 Trustee Richard Sparkman

Christopher T Graebe  
Graebe Hanna & Welborn, PLLC  
4350 Lassiter at North Hills, Ste 375  
Raleigh, NC 27609  
Counsel to Plan Participating Employers Dr. Lane & Associates, DDS,  
Revels Turf and Tractor, Family Medical Supply, Inc. and XS, Inc.

**I hereby certify that a true and correct copy of the foregoing Successor Trustee's Thirty-Fourth Report and Fee Notice has been or will be posted to the website of Receivership Management, Inc. ([www.receivermgmt.com](http://www.receivermgmt.com)) and that notice of filing and posting has been mailed by U.S. Mail by the 28th day of Nov, 2011 to the parties noted below**

1-800-Pack-Rat, LLC  
Attn: Don Platt  
6400 Goldsboro Rd Ste 300  
Bethesda, MD 20817

Albemarle Propane  
Attn: Alec Natt  
PO Box 1831  
Statesville, NC 28687-1831

Alternate Access, Inc.  
Attn: Adrienne Lumpkin  
5623 Duraleigh Rd, Suite 111  
Raleigh, NC 27612

Apex Veterinary Hospital Equine, P.A.  
Attn: Karin Prince & Dr. Richard Cochrane  
1600 E. Williams Street  
Apex, NC 27539

Ascom Wireless Solutions  
Attn: Nancy Duffy  
598 Airport Blvd, Suite 300  
Morrisville, NC 27560

Bes-Pak, Inc.  
Attn: Steve Whitt  
2306 Cedar Run Place  
Wilson, NC 27896

Carolina Safety Sports International, LLC  
Attn: Tammy Joyce  
124 Sunrise Center Drive  
Thomasville, NC 27360

Cenplex Building Services, Inc  
Attn: Dwight. Riley & Chelle Ritchey  
2400 Reliance Avenue  
Apex, NC 27539

DB Management Company  
Attn: Joseph & Diane Bastian  
5850 Fayetteville Road, #205  
Durham, NC 27713

Dogwood Veterinary Hospital & Pet Resort  
Attn: Dr. Linda Sands  
51 Vickers Rd  
Chapel Hill, NC 27517

ducduc, LLC  
Attn: Jodi Cheek  
116 N. West St, Ste 200  
Raleigh, NC 27603

The Executive Staffing Group  
Attn: Matt Savage  
324 South Academy St  
Cary, NC 27511

E & E Farm Equipment  
Attn: Cheryl Ellington  
70 John Deere Road  
Henderson, NC 27537

Family Medical Supply, Inc.  
Attn: Joey Tart  
1120 W. Broad Street  
Dunn, NC 28334

Fortner Insurance Agency, Inc  
Attn: William Shurley  
311 Oak Avenue, P.O. Box 39  
Spruce Pine, NC 28777

Furndex.com  
Attn: Jimmy Redmond  
604 Sunset Drive  
High Point, NC 27262

Galaxy Travel dba Prestige Travel of NC  
Attn: Aaron Spaulding  
5400 Glenwood Avenue, Suite 200  
Raleigh, NC 27612

Harnett Tractor Company, Inc  
Attn: David Lewis  
PO Box 1152  
Dunn, NC 28334

Cabinets by Horizon, LLC  
PO Box 466  
Garner, NC 27529

Indoor Storm, LLC  
2300 Westinghouse Blvd., Ste. 110  
Raleigh, NC 27604

Jamakin Cakes dba Edible Art  
Attn: Michelle Holcomb & Bobby Reynolds  
3801 Hillsborough Street, Suite 405  
Raleigh, NC 27607

Klein Decisions, LLC  
Attn: Robert Padgette & Sally Atwater  
PO Box 13628, 68 TW Alexander Dr  
RTP, NC 27709-3628

L&J Hardwood  
Attn: Joe Amundson  
3554 State Route 125  
Bethal, OH 45106

Materials Innovation Technologies, LLC  
Attn: Jim Stike & Bill Austin  
320 Rutledge Road  
Fletcher, NC 28732

MBA Software Technologies, Inc.  
Attn: Pete Meyer  
48 McKinney Road  
Etowah, NC 27607

McLamb's LP Gas & Supply Co., Inc  
3469 NC 242 South  
Benson, NC 27504

Media Research Planning & Placement, Inc.  
Attn: Sheila Ogle  
201 West Chatham St. Ste 202  
Cary, NC 27511

Mike Hensley  
3948 Browning Place, Suite 200  
Raleigh, NC 27609

MMI Associates Consulting, Inc.  
Attn: Patricia Briguglio  
223 E. Chatham St  
Cary, NC 27511

NorTex Communications, Inc. dba Response Point  
Attn: Brian Gossett  
111 Corning Rd, Suite 116  
Cary, NC 27518

Personnel Management Services dba Lane & Associates, D.D.S., P.A.  
Attn: Phillip Butts  
12450 Cleveland Rd Ste 203  
Garner, NC 27529

Revels Tractor Company, Inc.  
Attn: Turner Revels  
2217 N Main St  
Fuquay Varina, NC 27526

Stewart Physical Therapy Clinic, Inc.  
Attn: Eddie Stewart  
1713 Vaughn Rd  
Burlington, NC 27217

The Animal Hospital of Wilkes, P.A.  
Attn: John Stinson & Stephanie Stinson  
1609 Curtis Bridge Road  
Wilkesboro, NC 28697

Triangle Cable Splicing Co., Inc.  
Attn: Randal Roch  
200 Powell Dr., Ste 108  
Raleigh, NC 27606

Triangle Compounding Pharmacy  
Attn: Joe Cabaleiro  
550 New Waverly Place, Suite 110  
Cary, NC 27518

TriSure Corporation  
Attn: John Cramer  
4325 Lake Boone Trail #200  
Raleigh, NC 27607

US Armored, LLC  
Attn: Michael D. Field  
410 North Boylan Ave, Ste 83  
Cary, NC 27603



VectorCSP, LLC  
Frank Holman  
405 E Main St  
Elizabeth City, NC 27909

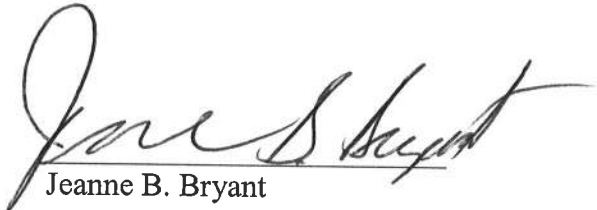
VEETech, P.C.  
Attn: Dene Perry & Amitava Sarkar  
113 Centrewest Ct  
Cary, NC 27513

Vein Clinic of Charlotte  
10502 Park Road, Ste. 120  
Charlotte, NC 28210

Wake Forest Urgent Care  
2115-A South Main Street  
Wake Forest, NC 27587

Worldwide Express  
Attn: Brian Tribble  
116 N. West St, Ste 200  
Raleigh, NC 27603

XS, Inc  
Attn: Thad Armbruster  
1500 Perimeter Park Dr, Ste 300  
RTP, NC 27560



Jeanne B. Bryant

# Receivership Management, Inc.

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783 Old Hickory Blvd., Suite 255 Brentwood, TN 37027 (615) 370-0051 Fax (615) 373-4336

## Fee Schedule for Castleton Project:

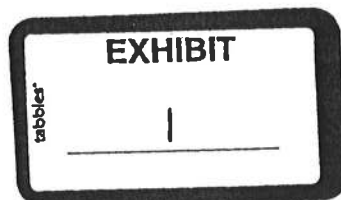
Jeanne Barnes Bryant	\$150 per hour
Rob Moore	\$130 per hour
Billy Spaulding(C.P.A.)	\$110 per hour
Mary Batey (Claims Adm.)	\$40 per hour
Sarah Forton (Tech. Assist. 401k's)	\$45 per hour
Information Tech Consultant	\$65 per hour

Overhead charges are allocated pro-rata based upon the number of hours billed to a receivership. Overhead charges are included in the hourly rates shown above. Overhead includes expenses of a general nature which cannot be charged to a specific receivership. Examples include depreciation on equipment, insurance, etc.

Rent is allocated to a project based on a physical presence (i.e. Files, records, and other documentation) at the RMI location and the time spent on that project. It is by its nature an estimate and is normally set at the beginning of each quarter and remains constant during that quarter. It is charged, based on the preceding description, in addition to the hourly rates shown above. The allocation is reviewed each month and if there is a material change in either the physical presence occupied or time spent on the project, the rent allocation is adjusted to reflect current conditions.

Office Expenses which can be specifically identified to a project (eg. telephone, postage, copies etc.) are charged to the project as incurred.

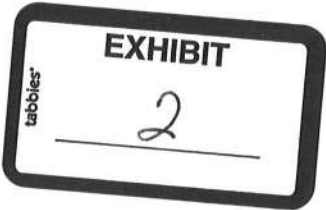
Travel: Per Diem-\$39.00 for meals and incidentals (first and last day of travel 75% of per diem amount allowed). Per Diem paid only if overnight travel is required. Transportation: By private car: 58.5 cents per mile; By common carrier: Actual ticket cost at coach.  
Lodging: Actual amount charged.



**SUMMARY TIME SHEET-SUCCESSOR TRUSTEE**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 10/01/11 THROUGH 10/31/11

Jeanne Barnes Bryant

OCT 2011 FEES	<u>720.00</u>
<b>TOTAL FEES</b>	<b><u><u>\$720.00</u></u></b>



Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

**CASTLETON GROUP INC 401K PLAN** **October 2011**

Date	Employee Name	Description	Hours	Rate	Amount
10/3/2011	Jeanne Barnes Bryant	E-MAIL FROM ROB MOORE RE FREEZE ORDER	0.1	\$150.00	\$15.00
10/4/2011	Jeanne Barnes Bryant	REPORT FROM ROB MOORE RE FREEZE MOTION, ISSUES RE MEETING WITH TUDOR	0.3	\$150.00	\$45.00
10/5/2011	Jeanne Barnes Bryant	E-MAILS FROM ROB MOORE RE APEX	0.2	\$150.00	\$30.00
10/6/2011	Jeanne Barnes Bryant	E-MAIL FROM ROB MOORE RE AUDIT, AND DISCUSSION WITH SCHOTT, DISCUSSION WITH ROB MOORE RE SAME	0.4	\$150.00	\$60.00
10/7/2011	Jeanne Barnes Bryant	E-MAIL FROM MAPES RE QUESTIONS	0.2	\$150.00	\$30.00
10/10/2011	Jeanne Barnes Bryant	QUESTION RE DOCUMENT REQUESTS	0.1	\$150.00	\$15.00
10/11/2011	Jeanne Barnes Bryant	E-MAIL RE QUESTIONS ON VCP	0.1	\$150.00	\$15.00
10/14/2011	Jeanne Barnes Bryant	DISCUSSION RE SCHEDULE	0.1	\$150.00	\$15.00
10/17/2011	Jeanne Barnes Bryant	DISCUSSION WITH ROB MOORE RE RESPONSES	0.1	\$150.00	\$15.00
10/18/2011	Jeanne Barnes Bryant	MEETING WITH ROB MOORE AND BILLY SPAULDING RE ORDER AND RESERVE ISSUES	0.2	\$150.00	\$30.00
10/19/2011	Jeanne Barnes Bryant	E-MAIL FROM ROB MOORE RE FREEZE ORDER, REVIEW SAME, E-MAIL RE SAME, DISCUSSION WITH ROB MOORE AND BILLY SPAULDING RE FILING, E-MAIL TO DOL RE FREEZE ORDER, E-MAIL TO DOL AND GRAEBE RE NEXT REPORT, E-MAILS RE FREEZE MOTION, E-MAIL FROM BILLY SPAULDING RE SCHEDULE	1	\$150.00	\$150.00
10/20/2011	Jeanne Barnes Bryant	E-MAILS FROM ROB MOORE RE VCP MATTERS, REVIEW AND SIGN REPORT, E-MAIL FROM DOL RE FILING ON FREEZE, DISCUSSION WITH ROB MOORE RE SAME, E-MAIL RE NOTICE TO EMPLOYERS, E-MAIL FROM DOL RE MOTION, DISCUSSION RE TRANSAMERICA	1	\$150.00	\$150.00

**CASTLETON GROUP INC 401K PLAN****October 2011**

10/21/2011	Jeanne Barnes Bryant	E-MAIL FROM ROB MOORE RE EMPLOYERS, E-MAILS RE TRANSACTIONS AND NEEDED WORK, REVIEW REPORT FOR DOL	0.3	\$150.00	\$45.00	
10/24/2011	Jeanne Barnes Bryant	REPORT FROM ROB MOORE RE REVEL TRACTORS, HARTNETT TRACTORS AND EFFECT ON VCP	0.1	\$150.00	\$15.00	
10/26/2011	Jeanne Barnes Bryant	CALLS RE VCP	0.1	\$150.00	\$15.00	
10/27/2011	Jeanne Barnes Bryant	E-MAIL FROM ROB MOORE RE XS	0.1	\$150.00	\$15.00	
10/28/2011	Jeanne Barnes Bryant	E-MAIL FROM XS	0.1	\$150.00	\$15.00	
10/31/2011	Jeanne Barnes Bryant	QUESTION RE TRANSFERS FROM TRANSAMERICA AND ORDERS RE FREEZE, CALLS RE FREEZE	0.3	\$150.00	\$45.00	

**Total****\$720.00**

**SUMMARY TIME SHEET-LEGAL COUNSEL**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 10/01/11 THROUGH 10/31/11

Berry & Tudor

OCT 2011 FEES	INV 18006	<u>2,200.00</u>	<u>2,200.00</u>
<b>TOTAL FEES</b>			<b><u><u>2,200.00</u></u></b>

# **BERRY & TUDOR, P.C.**

*A Professional Corporation*

5123 Virginia Way  
Suite B-23  
Brentwood, Tennessee 37027-7598

Telephone: (615) 726-1000

FEIN: 62-1525112

Fax: (615) 370-0077

Ms. Jeanne Barnes Bryant  
c/o Receivership Management, Inc.  
783 Old Hickory Blvd., Suite 255  
Brentwood, TN 37027

November 1, 2011

Client #: 801

RE: The Castleton Group, Inc. 401(k) Plan

Invoice #: 18006

DATE	DESCRIPTION	HOURS	AMOUNT	
Oct-03-11	Emails from and to R. Moore re: VCP corrections and deadline for objections to motion to lift freeze order.	0.20	50.00	BET
Oct-04-11	Review VCP correction emails; meet with R. Moore and B. Spaulding re: same; email to R. Moore re: XS, Inc's 2006 adoption agreement.	1.60	400.00	BET
Oct-05-11	Emails from R. Moore re: Hensley-serviced employers and re: Apex Vet's 2006 adoption agreement execution request; emails from and to R. Moore re: Apex Vet's top heavy contribution for 2007; review Apex employee census data spreadsheets; email to R. Moore re: same.	1.30	325.00	BET
Oct-10-11	Telephone conference with R. Moore re: no objections as yet to motion to lift freeze.	0.10	25.00	BET
Oct-11-11	Telephone conference with R. Moore re: Apex Vet issues.	0.30	75.00	BET
Oct-19-11	Emails from and to R. Moore re: deceased participant (S. Badarak); review plan document re: default death benefit beneficiaries.	0.50	125.00	BET
Oct-20-11	Emails from R. Moore and R. Mapes re: Apex Vet top heavy contribution and Calvin Hall's corrective RMDs; emails from and to R. Moore re: corrective	1.60	400.00	BET

RECEIVED  
NOV 04 2011

RMDs and XS, Inc. participant loans.

Oct-21-11	Emails from and to R. Moore re: determination of existence of "successor plan"; office conference with J. Berry re: same.	0.70	175.00	BET
Oct-21-11	Office conference with B. Tudor regarding successor plan issue for Castleton 401(k) plan.	0.20	50.00	JWB
Oct-24-11	Emails from and to R. Moore re: successor plans; telephone conference with R. Moore re: same.	1.20	300.00	BET
Oct-25-11	Emails from and to R. Moore re: draft email to Revels/Harnett/E&E/Quality; telephone conference with R. Moore re: E&E's responses to same.	0.75	187.50	BET
Oct-26-11	Email from R. Moore re: Revels Tractor's response to successor plan questions.	0.10	25.00	BET
Oct-31-11	Emails from and to R. Moore re: XS, Inc. participant loan documents.	0.25	62.50	BET
	<b>TOTAL FEES</b>	<b>8.80</b>	<b>\$2,200.00</b>	

**DISBURSEMENTS:**

TOTAL DISBURSEMENTS \$0.00

**Total Fees & Disbursements** \$2,200.00

*Posted  
of  
11-8-11*

Previous Balance \$4,076.37

Correction to Previous Balance (\$27.26)

Previous Payments (\$930.86)

<b>PLEASE PAY</b>	<b>\$5,318.25</b>
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**PAYMENT DUE WITHIN 30 DAYS OF STATEMENT. THANK YOU.  
PLEASE MAKE CHECKS PAYABLE TO BERRY & TUDOR, P.C.**



**SUMMARY TIME SHEET-CONTRACT LABOR**  
SERVICES PROVIDED FOR CASTLETON GROUP INC 401K PLAN  
FOR THE PERIOD 10/01/11 THROUGH 10/31/11

Receivership Management, Inc.

OCT 2011 FEES	3,555.50	
RMI EXPENSES	<u>169.00</u>	<u>3,724.50</u>
		<u><b>\$3,724.50</b></u>

**TOTAL FEES**

Receivership Management, Inc.  
P. O. Box 2307  
Brentwood, TN 37024

Invoice for Professional Services

**CASTLETON GROUP INC 401K PLAN**

**October 2011**

Date	Service Provider	Description of Service	Hours	Rate	Total
10/3/2011	Robert E. Moore, Jr.	EMAIL TO B.TUDOR RE: CORRECTIVE ACTIONS MEETING .10; DOCKET REVIEW AND REPORT ON OCTOBER 11 RESPONSE DEADLINE ON MOTION TO LIFT FREEZE .10;	0.2	\$130.00	\$26.00
10/3/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS	0.25	\$45.00	\$11.25
10/4/2011	Billy B. Spaulding	MEETING WITH B TUDOR TO DISCUSS RESOLUTION OF VCP FAILURES.	1.5	\$110.00	\$165.00
10/4/2011	Robert E. Moore, Jr.	MEETING WITH B.TUDOR AND B.SPAULDING RE: CORRECTIVE ACTIONS AND TRANSACTIONS (EXTENSIVE) 1.5;REVIEW APEX CORRECTIVE ITEMS, ISSUE EMAIL TO KAREN PRINCE AND MIKE HENSLEY RE: APEX CORRECTIVE ACTIONS 1.0; ISSUE EMAIL TO R.MAPES RE: RMD CALCULATIONS REQUEST .2	2.7	\$130.00	\$351.00
10/4/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS, PULL PARTICIPANT REPORTS FROM TRANSAMERICA WEBSITE	3.5	\$45.00	\$157.50
10/5/2011	Robert E. Moore, Jr.	CALLS TO AND FROM K.PRINCE .10; EMAIL TO B.TUDOR RE: QUESTIONS FROM K.PRINCE .2; REVIEW RESPONSES .2; DRAFT COVER LETTER AND DOCUMENTS FOR APEX VET .2;	0.7	\$130.00	\$91.00
10/5/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS, FORWARD PARTICIPANT REPORT TO APEX	0.75	\$45.00	\$33.75
10/6/2011	Robert E. Moore, Jr.	CALL WITH STEVE SCHOTT RE: AUDIT REQUIREMENT IN 2011 FORM 5500 .3; CALL WITH CYNTHIA MOSS RE: BANKRUPTCY NOTICES AND STATUS OF TERMINATIONS .2; EMAIL TO B.TUDOR AND J.BRYANT RE: CONVERSATION WITH STEVE SCHOTT ON AUDIT ISSUES .15; REVIEW AND RESPOND TO EMAIL FROM R.MAPES ON RMD CALCULATION .10	0.75	\$130.00	\$97.50
10/6/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS	0.5	\$45.00	\$22.50

Tuesday, November 22, 2011

**CASTLETON GROUP INC 401K PLAN**

**October 2011**

Date	Name	Description	Hours	Rate	Total
10/10/2011	Robert E. Moore, Jr.	DRAFT EMPLOYER COVER LETTER RE: PARTICIPANT EMPLOYMENT STATUS .25; DOCKET CHECK .10; CALL WITH B.TUDOR RE: CASTLETON RMD CALCULATIONS .2; UPDATE WITH J.BRYANT AND S.FORTON .10;	0.65	\$130.00	\$84.50
10/10/2011	Sarah D. Forton	LETTERS TO EMPLOYERS RE: ACTIVE/TERMINATED PARTICIPANTS, CALLS FROM PARTICIPANTS RE: STATUS	2.5	\$45.00	\$112.50
10/11/2011	Robert E. Moore, Jr.	REVIEW INFORMATION FROM APEX VET, CALL WITH B.TUDOR RE: SAME .5	0.5	\$130.00	\$65.00
10/17/2011	Robert E. Moore, Jr.	REVIEW EMAIL RESPONSES TO EMPLOYMENT STATUS MAILING, FORWARD SAME TO S.FORTON .10; UPDATE B.TUDOR ON RESPONSES AND DISCUSS NEXT TRANSACTIONS .2	0.3	\$130.00	\$39.00
10/17/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS	0.5	\$45.00	\$22.50
10/18/2011	Billy B. Spaulding	DISCUSSION ON VCP DISTRIBUTIONS, FORMS 1099 AND ESTIMATE TO FINISH TERMINATION.	0.6	\$110.00	\$66.00
10/18/2011	Robert E. Moore, Jr.	REVIEW EMPLOYMENT STATUS RESPONSES .2; CALL WITH CLERK'S OFFICE RE: ORDER NOTICE .10; DRAFT PROPOSED ORDER 2.0; DRAFT THIRTY THIRD REPORT .75	3	\$130.00	\$390.00
10/19/2011	Billy B. Spaulding	PREPARE SCHEDULE OF PREVIOUS 6 MONTHS EXPENSES AND DISTRIBUTE.	0.3	\$110.00	\$33.00
10/19/2011	Robert E. Moore, Jr.	MEETING WITH J.BRYANT, B.SPAULDING, AND S.FORTON RE: PROPOSED ORDER, THIRTY-THIRD REPORT, RESEARCH AND DRAFT EMAIL TO K.PRINCE RE: APEX VET TOP HEAVY CONTRIBUTION, EMAIL TO XS INC RE: ESCROWED LOAN PAYMENTS, EMAIL TO R.MAPES AND C.PATTERSON 2.0	2	\$130.00	\$260.00
10/19/2011	Sarah D. Forton	WORK ON MOTION FILING, WORK ON ORDER, CALLS FROM PARTICIPANTS RE: STATUS	2	\$45.00	\$90.00
10/20/2011	Robert E. Moore, Jr.	CALL WITH REBECCA PORCH RE: XS INC ESCROW .2; EMAILS TO B.TUDOR RE: XS AND APEX .10; CALL WITH B.TUDOR RE: XS INC .2; REVIEW TRANSAMERICA PROCEDURES .4; REVIEW EMAIL FROM R.MAPES AND DISCUSS WITH B.TUDOR .10; UPDATE J.BRYANT .10; CALL WITH J.QUINN RE: PROPOSED ORDER AND AUDIT ISSUES .2; UPDATE J.BRYANT .10; DRAFT DOL REPORT .2	1.4	\$130.00	\$182.00

**CASTLETON GROUP INC 401K PLAN**

**October 2011**

Date	Employee	Description	Hours	Rate	Amount
10/20/2011	Sarah D. Forton	WORK ON ORDER FILING, CALLS FROM PARTICIPANTS RE: STATUS, E-MAILS TO EMPLOYERS	1.5	\$45.00	\$67.50
10/21/2011	Robert E. Moore, Jr.	REVIEW EMAILS FROM EMPLOYERS RE: SUCCESSOR PLAN ADMINISTRATORS, CURRENT EMPLOYEE STATUS REPORTS, ISSUE EMAILS RE SAME, UPDATE J.BRYANT RE: SAME 1.0	1	\$130.00	\$130.00
10/21/2011	Sarah D. Forton	WORK ON MOTION FILING, E-MAILS FROM EMPLOYERS	2	\$45.00	\$90.00
10/24/2011	Robert E. Moore, Jr.	EMAILS TO B.TUDOR, C.ELLINGTON, D.LEWIS, T.REVELS RE: QUALITY EQUIPMENT PURCHASE AND EMPLOYMENT AFFILIATIONS AND PLAN TERMINATIONS, CALLS WITH A.REVELS AND C.ELLINGTON RE: SAME 2.5; REVIEW EMAILS ON SUCCESSOR PLANS, CALL WITH J.HARDCASTLE RE: NORTEX .4; UPDATE J.BRYANT AND B.TUDOR .10;	2.6	\$130.00	\$338.00
10/25/2011	Robert E. Moore, Jr.	EMAILS TO AND FROM C.ELLINGTON, T.REVELS, AND B.TUDOR RE: QUALITY EQUIPMENT TRANSACTION 2.0;	2	\$130.00	\$260.00
10/25/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS	0.5	\$45.00	\$22.50
10/27/2011	Sarah D. Forton	CALLS FROM PARTICIPANTS RE: STATUS	0.5	\$45.00	\$22.50
10/31/2011	Robert E. Moore, Jr.	EMAILS TO AND FROM B.TUDOR AND REBECCA PORCH RE: XS INC OUTSTANDING LOANS, REVIEW LOAN DOCUMENTATION ISSUE EMAIL TO B.TUDOR AND R.PORCH RE: SAME 2.5	2.5	\$130.00	\$325.00

**Total** **\$3,555.50**

# RMI EXPENSE RECOVERABLE CASTLETON

10/1/11 Through 10/31/11

Category Description	10/1/11- 10/31/11
5300 EXPENSES	
6205-COPIES	-23.10
6210-POSTAGE	-34.88
6215-COURIER & OVERNIGHT	-100.82
6222-TELEPHONE LONG DISTANCE	-10.20
TOTAL 5300 EXPENSES	<u>-169.00</u>
OVERALL TOTAL	<u>-169.00</u>